## Supplier Classification & Certification Requirements

Large and Small Diverse Suppliers are required to register and validate their diverse status for participation in Alcon Supplier Diversity efforts. This will help ensure that our bidding opportunities reach eligible diverse and/or small business enterprises. Please click the portal registration link below to provide all of your certifications and documentation.

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### Alcon Diverse Supplier Registration Portal

Alcon works in conjunction with and accepts third-party certifications and documents from the following organizations and councils.

- United States Small Business Administration (SBA)
- National Minority Supplier Development Council (NMSDC) or its affiliates
- Women's Business Enterprise Council (WBENC) or its affiliates
- National Veteran Business Development Council (NVBDC) or its affiliates
- Disability:IN (DBE) or its affiliates
- National Gay & Lesbian Chamber of Commerce (NGLCC) or its affiliates
- City, State, or Federal Government Certification Agencies
- Other Certifying Associations, Chambers of Commerce, and Councils

#### What type of business does Alcon consider as a "diverse supplier"?

#### SMALL AND LARGE BUSINESS ENTERPRISES

<u>Minority Business Enterprise (MBE)</u>

A for-profit enterprise, regardless of size, physically in the U.S. or its trust territories, with at least 51% owned by one or more minority members. Minority members may be:

- African American: origins in any of the Black racial groups of Africa.
- **Hispanic American:** true-born Hispanic heritage, from any Spanish speaking areas of Latin America or Mexico, Central America, South America and the Caribbean basin.
- Native American: American Indian, Eskimo, Aleut or Native Hawaiian.
- Asian-Pacific American: origins from Japan, China, Taiwan, Korea, Vietnam, Laos, Cambodia, Philippines, Samoa, Guam, The U.S. Trusted Territories of the Pacific or the Northern Marianas.
- Asian-Indian American: origins from India, Pakistan, and Bangladesh.

#### <u>Women Owned Business (WBE)</u>

A for-profit enterprise, regardless of size, with at least 51% owned by one or more U.S. Citizen Women.

## Supplier Classification & Certification Requirements

#### • Veteran Business Enterprise (VBE)

A for-profit enterprise, regardless of size, with at least 51% owned and controlled by U.S. citizen who are Veterans of the U.S. Armed Forces.

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#### Disabled Business Enterprise (DBE)

A business that is at least 51 percent owned by an individual or individuals with a disability, i.e. "a physical or mental impairment which substantially limits one or more major life activities" (as defined by the Americans With Disabilities Act of 1990, 42 U.S.C. section 12111 et seq., its interpretive regulations, 29 C.F.R. part 1630, and case law) who also control(s) and operate(s) it. "Control" in this context means exercising the power to make policy decisions. "Operate" in this context means actively involved in the day-to-day management of the business.

#### Lesbian/Gay/Bisexual/Transgender (LGBT)

Majority (at least 51%) owned, operated, managed, and controlled by an LGBT person or persons who are either U.S. citizens or lawful permanent residents.

#### <u>Alaskan Native Corporation (ANC)</u>

An Alaska Native Corporation or ANC means any Regional Corporation, Village Corporation, Urban Corporation, or Group Corporation organized under the laws of the State of Alaska in accordance with the Alaska Native Claims Settlement Act, as amended (43 U.S.C. 1601, et seq.).

An Indian tribe means any Indian tribe, band, nation, or other organized group or community of Indians, including any ANC, which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians, or is recognized as such by the State in which the tribe, band, nation, group, or community resides.

# Supplier Classification & Certification Requirements

#### SMALL BUSINESS ENTERPRISES

• <u>Small Business (SBE)</u> Business that is smaller than a given size as measured by its employment and revenue in accordance with the U.S. SBA.

#### o Small Disadvantaged Business Enterprise (SDB)

A for-profit business with at least 51% owned by one or more individuals who are both socially and economically disadvantaged. Firms that receive 8A certification automatically qualify as an SDB.

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- African American: origins in any of the Black racial groups of Africa.
- Hispanic American: true-born Hispanic heritage, from any Spanish speaking areas of Latin America or Mexico, Central America, South America and the Caribbean basin.
- Native American: American Indian, Eskimo, Aleut or Native Hawaiian.
- Asian-Pacific American: origins from Japan, China, Taiwan, Korea, Vietnam, Laos, Cambodia, Philippines, Samoa, Guam, The U.S. Trusted Territories of the Pacific or the Northern Marianas.
- Asian-Indian American: origins from India, Pakistan, and Bangladesh.

#### • Women Owned Small Business Enterprise (WOSB)

A for-profit business that is smaller than a given size measured by its employment and revenue in accordance with the U.S. SBA and is at least 51% owned by one or more U.S. Citizen Women.

#### • Veteran Business Small Enterprise (VOSB)

A for-profit enterprise, regardless of size, with at least 51% owned and controlled by U.S. citizen who are Veterans of the U.S. Armed Forces.

#### • Service Disabled Veteran Small Business Enterprise (SDVOSB)

A for-profit enterprise, regardless of size, with at least 51% owned and controlled by one or more disabled veterans.

#### o HubZone (HubZone, SBA Certified)

A for-profit business located in a historically underutilized business zone and at least 35% of employees reside in a HubZone.